

F.No. 27(1)/2009-GM(pt.)  
Government of India  
Ministry of Heavy Industries and Public Enterprises  
Department of Public Enterprises

Block 14, CGO Complex,  
Lodhi Road, New Delhi-110003.  
Dated the 19<sup>th</sup> November, 2020

To,

Subject: Information required under current 'Right to Information Act, 2005'.

Sir,

Please refer to your RTI applications (i) DPENT/R/T/20/00302 received in DPE on 4.11.2020 on transfer from Department of Personnel & Training, (ii) DPENT/R/T/20/00291 received in DPE on 23.10.2020 on transfer from Department of Personnel & Training (point 2 and 3) and (iii) received on transfer from Public Enterprises Selection Board vide their OM No. 11/33/2020-PESB dated 3<sup>rd</sup> November, 2020. The information in respect of your RTI application is as under.

Information Sought	Information
1. Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.	1. 2. and 3. As the information sought pertains to listed Central Public Sector Enterprises (CPSEs), a copy of your RTI application is being forwarded to all listed CPSEs under Section 6(3) of RTI Act, 2005 for furnishing available information on these three points to you directly.
2. Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.	
3. Documents relating to Steps taken to rectify the default for companies under point1.	

2. An appeal against this letter can be preferred to the Appellate Authority in this Department, within thirty days from the receipt of this letter at the following address.

Shri Nitin Agarwal, Joint Director, Department of Public Enterprises Block No. 14, CGO Complex, Lodi Road, New Delhi – 110 003.

The appeal against the information to be furnished by the listed CPSEs may be furnished to the Appellate Authority indicated in their reply.

Yours faithfully,

sd/ —

(Kailash Bhandari)  
Deputy Director & CPIO  
Tel : 2436-6247

✓ Copy to :- Central Public Information Officers of all listed CPSEs (as per attached list) -  
With a request to furnish available information in respect of parts (1), (2) and (3)  
of RTI applications to RTI applicant directly under intimation to the undersigned.  
A copy of RTI applications is attached.

*Kailash Bhandari*  
19/11/2020  
(Kailash Bhandari)  
Deputy Director & CPIO  
Tel : 2436-6247

Shri Chinmoy Ranjan Basistha,  
Sr. Manager (Administration) & CPIO,  
Andrew Yule & Co.Ltd.  
8, Dr. Rajendra Prasad Sarani  
Kolkata - 700 001

Shri Kaustav Sen,  
Senior Manager [Legal] & CPIO,  
Balmer Lawrie & Co.Ltd.  
Balmer Lawrie & Co. Ltd.  
21 Netaji Subhas Road  
Kolkata - 700 001

Shri Kaustav Sen,  
Senior Manager [Legal] & CPIO,  
Balmer Lawrie Investments Ltd.  
Balmer Lawrie & Co. Ltd.  
21 Netaji Subhas Road  
Kolkata - 700 001

Shri Bishnu Prasad Parida,  
Sr. Manager (HR) & CPIO,  
BEML LTD.  
BEML Soudha', 23/1, 4th Main,  
SR Nagar, Bangalore -560 027

Shri A. Sathesh Chakravarthi,  
Dy.General Manager C-HR,  
(TA & OD) & CPIO,  
Bharat Dynamics Limited,  
Corporate Office, Plot No 38-39,  
TSFC Building (Near ICICI Towers),  
Financial District, Gachibowli,  
Hyderabad, Telangana -500032.

Shri Udaya Shankara K S,  
AGM (Mktg)/ CPIO,  
Bharat Electronics Ltd.  
BEL Corporate Office, Outer Ring Road,  
Nagavara, Bangalore - 560 045

Ms. Arpita Mehta,  
Sr. Executive (HR-Law) & CPIO,  
Bharat Heavy Electricals Limited  
Corporate Office BHEL House,  
Siri Fort, New Delhi-110049

Mr. S.K. Srivastava,  
GM (Liaison & Coordination),  
Marketing Corporate & CPIO,  
Bharat Petroleum Corpn. Ltd.,  
Bharat Bhavan No.1, 3rd Floor,  
Ballard Estate, Mumbai - 400001

Mr. Lalit Kumar Mohanty,  
Deputy Company Secretary & CPIO,  
Chennai Petroleum Corp.Ltd.  
CPCL, no. 536, Anna Salai,  
Teynampet, Chennai - 600018

Smt. Neela Prasad  
General Manager (P/PC)/  
Central Public Information Officer  
Coal India Limited,  
Premise No-04 MAR, Plot No-AF-III, Action  
Area-1A  
New town, Rajarhat, Kolkata-700156.

Shri Ramesh K J, Chief General Manager  
(HR & TRG) & CPIO,  
Cochin Shipyard Limited,  
Administrative Building,  
Cochin Shipyard Premises, Perumanoor,  
Cochin,  
Ernakulam - 682 015, Kerala.

Shri R K Panda,  
DGM (HR) & CPIO,  
Engineers India Ltd.  
EIL Bhawan, 6<sup>th</sup> Floor  
1, Bhikaiji Cama Place, New Delhi- 110066

Shri R Krishnan, GM(Adm) & CPIO,  
Fertilizers & Chemicals Travancore Ltd.  
FACT Township Eloor,  
Udyogamandal - 683 501. Kochi, Kerala

Shri Harish Chandra Lohumi, CPIO  
GAIL (India) Limited  
16 Bhikaji Cama Place  
New Delhi - 110066

Shri Sandip Biswas,  
Senior Manager (Law) & CPIO,  
Garden Reach Shipbuilders & Engineers Ltd.  
43/46, Garden Reach Road, Kolkata -700 024

Capt A Ghani, DGM (S&F) & CPIO,  
Hindustan Aeronautics Ltd.  
HAL, Corporate office, PB No. 5150,  
15/1, Cubbon Road, Bangalore-560001

Mr. Kamlesh Kumar,  
Hindustan Copper Ltd.  
Hindustan Copper Limited, "Tamra Bhavan" 1,  
Ashutosh Chowdhury Avenue, Kolkata - 700  
019

Mr D Nagesh  
Chief Manager (Materials) & CPIO,  
Hindustan Fluorocarbons Ltd,  
Office No. 303, Babu Khan Estate  
Basheerbagh, Hyderabad-500001

Shri S V Deshpande,  
Chief General Manager (P&A)-Corporate &  
CPIO.  
Hindustan Organic Chemicals Ltd.,  
V Times Square, Office No. 401-403  
Plot No. 03, Sector 15,  
CBD Belapur, Navi Mumbai 400 614

DGM - Corporate Affairs & CPIO  
Hindustan Petroleum Corp.Ltd.  
HPCL, Petroleum House, 17 Jamshedji Tata  
Road,  
Churchgate, Mumbai 400020

Smt.K.A.Susheela,  
Asst.General Manager (Corporate HR) &  
CPIO,  
HMT LTD.  
HMT Bhavan, #59,  
Bellary Road , Bangalore - 560 032

Mr.Suresh Kumar Mishra,  
ED (LO&CA) & CPIO  
Housing & Urban Development Corporation  
Ltd.  
- HUDCO Bhawan, Core-7-A,  
India Habitat Centre, Lodhi Road,  
New Delhi - 110 003

Shri R.N. Kumar, CPIO  
India Tourism Development Corp.Ltd.  
ITDC Ltd., Scope complex, 6th Floor,  
Core 8, 7 Lodhi Road, New Delhi 110003

Mr. Udit Jain,  
Chief General Manager (Talent Acq.) & CPIO,  
INDIAN OIL CORP.LTD  
Indian Oil Corporation Ltd.  
Corporate Office,Plot No.3079/3,  
Sadiq Nagar, J.B. Tito Marg,  
New Delhi-110049

Sh. P.C. Behari, JGM/HRD & CPIO,  
Indian Railways Catering and  
Tourism Corporation  
B 148, 11th Floor, Statesman House,  
Barakhamba Road, New Delhi-110001

Shri P N Lokesh,  
DGM-MM& CPIO  
ITI LTD.  
ITI Bhavan, Doorvaninagar,  
Bengaluru-560 016

Shri Pushpakant Mishra,  
Company Secretary & CPIO,  
KIOCL LIMITED,  
II BLOCK, KORAMNAGALA,  
BANGALORE - 560034

Shri N Ananthavijayan,  
General Manager - P & A (a/c) & CPIO,  
Madras Fertilizers Ltd.  
Madras Fertilizers Limited,  
Manali, Chennai - 600 068.

Mr. R. M. Tiwari, GM(Admin) & CPIO,  
Mahanagar Telephone Nigam Ltd.  
R.No. 315, 3rd Floor Khurshid  
Lal Bhawan, New Delhi - 110001

Shri I V Muralidharan,  
DGM (Security) & CPIO,  
Mangalore Refinery & Petrochemicals Ltd.  
MRPL, P O Kuthethoor  
Mangalore-575030

Shri S.S. Kadam, AGM & CPIO,  
Mazagon Dock Shipbuilders Limited  
Dockyard Road, Mazagon,  
Mumbai - 400010

Shri S Pavan Kumar,  
Deputy General Manager (Legal & HR) &  
CPIO,  
Mishra Dhatu Nigam Ltd.  
PO - Kanchanbagh,  
Hyderabad - 500058

Shri Sanjay Kaul, CGM(P) & CPIO,  
MMTC LTD.  
Core -1, "SCOPE COMPLEX"  
7 Institutional Area, Lodhi Road,  
New Delhi - 110003 INDIA

Shri L.M. Telang,  
Sr.Deputy General Manager (Per.)& CPIO  
MOIL LTD.  
"MOIL BHAWAN", 1A, Katol Road,  
NAGPUR 440 013 (Maharashtra)

Shri Javed Reyaz,  
GM (IE&Compliance) & CPIO  
NATIONAL ALUMINIUM CO.LTD.  
Nalco Bhavan P/1, Nayapalli,  
Bhubaneswar -751013

Shri Jagannath Das,  
Asstt. Manager (Law) & CPIO,  
NATIONAL FERTILIZERS LTD.  
A-11, Sector-24, Distt. Gautam Budh Nagar,  
NOIDA (U.P.) 201 301

Smt. Manjeeta Mahajan,  
Dy. General Manager (HRM) & CPIO,  
NBCC (India)Limited,NBCC Bhawan,  
Lodhi Road,New Delhi-110003.

Shri M. Madava Radjou,  
Deputy General Manager (PR) & CPIO,  
NEYVELI LIGNITE CORP.LTD.  
Public Relations Department,  
NLC India Ltd. Neyveli-607 801

Shri Gajendra Kumar Singh,  
General Manager (HR) & CPIO,  
NHPC LTD.  
NHPC Limited, NHPC Office Complex,  
Sector-33,  
Faridabad - 121003(Haryana)

Shri S.P Himanshu,  
Chief General Manager (Personnel) & CPIO,  
NMDC LTD.  
Khanij Bhavan, 10-3-311/A, Masab Tank,  
NMDC Limited,  
Hyderabad - 500028 (Telangana)

Shri Shantimoy Nandan, CPIO  
NTPC Ltd., Engineering Office Complex,  
R&D Building, Room No.25,  
Sector-24,  
NOIDA-201301

Ms. Nirmala Patel, GM (HR) & CPIO,  
OIL & NATURAL GAS CORP.LTD.  
ONGC, DDU Bhawan,  
5, Nelson Mandel Marg,  
2nd Floor, Vasant Kunj,  
New Delhi -1100070

Shri Prasanta Borkakoty,  
CGM(Admin) & CPIO,  
OIL INDIA LTD.  
Plot No. 19, Sec.-16A,  
Noida UP - 201301

The CPIO,  
Orissa Mineral Development Company Ltd,  
C/O-SAIL Office,Ground Floor,Plot-271,  
Bidyut Marg,Unit-IV,Shastri Nagar,  
Bhubaneswar-751001,Odisha. India

Sh. Manohar Balwani,  
Company Secretary & CPIO,  
Power Finance Corporation Ltd.,  
Urjanidhi' , 1, Barakhamba Lane,  
Connaught Place, New Delhi-110001

Sh. Jasbir Singh,  
Sr.General Manager (CP) & CPIO,  
Power Grid Corp.Of India Ltd.  
Corporate Centre, Saudamini, Plot No. 2,  
Sector-29,Gurgaon -122001

Mr. Sathyan Pillai, AGM/HR & CPIO,  
Rail Vikas Nigam Ltd.  
Rail Vikas Nigam Limited, First Floor,  
August Kranti Bhawan, Bhikaji Cama Place,  
New Delhi 110 066.

Mr. Dipankar Halder,  
Public Information Officer  
Shipping Corp.Of India Ltd.  
Shipping House 16th floor,  
245, Madam Cama Road, Mumbai 400-021

The CPIO,  
SJVN Limited,  
Shakti Sadan, Coporate Office Complex.  
Shanan, Shimla - 171006 Himachal Pradesh.

Shri S. P. Lakpa,  
Chief General Manager & CPIO,  
The State Trading Corporation of India Ltd.,  
Jawahar Vyapar Bhawan, Tolstoy Marg, New Delhi – 110001

Shri D.P.DANGWAL AGM, CPIO,  
Steel Authority Of India Ltd.  
Ispat Bhawan,  
3rd Floor, Lodi Road, New Delhi-110003

Shri Masood Ahmad,  
General Manager (Civil) & CPIO,  
IRCON International Limited  
C-4, District Centre, Saket,  
New Delhi -110017

Shri M.P. Shrivastava,  
GM(CC/PG) & CPIO  
MSTC Limited,  
225-C, A.J.C Bose Road. Kolkata - 700020

Sh. Anurag Mathur, ED(MIS) & CPIO,  
Container Corp.Of India Ltd.  
NSIC,MDBP Building, 3rdFloor,  
Okhla Industrial Estate, New Delhi 110020

Shri Sandip Kumar Lal,  
Central Public Information Officer,  
Bharat Immunolgicals & Biologicals Corp. Ltd.(BIBCOL),  
Village Chola,  
Bulandshahr, Uttar Pradesh, Pin 203203

Shri Sanjay R Jagtap,  
Dy General Manager (Corporate  
Communication & CSR)/ CPIO,  
Rashtriya Chemicals & Fertilizers Ltd  
Priyadarshini,  
Eastern Express Highway, Sion,  
Mumbai- 400 022

Mr. Mahesh Sreenivasan,  
GM (Legal) & CPIO,  
RITES Ltd.,RITES Bhawan,  
1 Sector-29, Gurgaon-122001

Shri APS Manocha,  
Chief General Manager (Law) & CPIO,  
RURAL ELECTRIFICATION CORP.LTD.  
REC Limited ,Core-4,  
Scope complex ,7,Lodhi Road, New Delhi-  
110003

Shri Anand Kumar,  
DM(HR&A) & CPIO,  
Scooters India Limited, Sarojini Nagar,  
Lucknow (UP) –226008

**RTI REQUEST DETAILS (आरटीआई अनुरोध विवरण)**

<b>Registration Number</b> (पंजीकरण संख्या) :	DPENT/R/1/20/00302	<b>Date of Receipt</b> (प्राप्ति की तारीख) :	04/11/2020
<b>Transferred From</b> (से स्थानांतरित) :	Department of Personnel & Training on 04/11/2020 With Reference Number : DOP&T/R/E/20/06668		
<b>Remarks</b> (टिप्पणी) :	The information sought by applicant does not pertain to PESA and the RTI application is being transferred to DPE under section 6(3) of RTI Act, 2005 for furnish the information.		
<b>Type of Receipt</b> (रसीद का प्रकार) :	Electronically Transferred from Other Public Authority	<b>Language of Request</b> (अनुरोध की भाषा) :	English
<b>Name</b> (नाम) :		<b>Gender</b> (लिंग) :	
<b>Address</b> (पता) :		<b>Country</b> (देश) :	
<b>State</b> (राज्य) :		<b>Mobile Number</b> (मोबाईल नंबर) :	
<b>Phone Number</b> (फोन नंबर) :		<b>Email-ID</b> (ईमेल-आईडी) :	
<b>Status</b> (स्थिति) (Rural/Urban) :		<b>Education Status</b> :	
<b>Requester Letter Number</b> (निवेदक पत्र संख्या) :	Details not provided	<b>Letter Date</b> :	Details not provided
<b>Is Requester Below Poverty Line ?</b> (क्या आवेदक गरीबी रेखा से नीचे का है?) :	No	<b>Citizenship Status</b> (नागरिकता) :	Indian
<b>Amount Paid</b> (राशि का भुगतान) :	10 ) (original recipient)	<b>Mode of Payment</b> (भुगतान का प्रकार) :	Payment Gateway
<b>Does it concern the life or Liberty of a Person?</b> (क्या यह किसी व्यक्ति के जीवन अथवा स्वतंत्रता से संबंधित है?) :	No(Normal)	<b>Request Pertains to</b> (अनुरोध निम्नलिखित संबंधित है) :	kailash Bhandari
<b>Information Sought</b> (जानकारी मांगी) :	<ol style="list-style-type: none"> <li>1.Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.</li> <li>2.Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.</li> <li>3. Documents relating to Steps taken to rectify the default for companies</li> </ol>		

Details

under point1.

**Original RTI Text (मूल  
आरटीआई पाठ):**

- 1.Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.
- 2.Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.
3. Documents relating to Steps taken to rectify the default for companies under point1.

Print Save Close

## RTI REQUEST DETAILS (आरटीआई अनुरोध विवरण)

<b>Registration Number</b> DPENT/R/T/20/00291 (पंजीकरण संख्या) :	<b>Date of Receipt</b> (प्राप्ति की तारीख) : 23/10/2020	
<b>Transferred From</b> (से स्थानांतरित): Department of Personnel & Training on 23/10/2020 With Reference Number . DOP&T/R/T/20/06668		
<b>Remarks</b> (टिप्पणी) :	The RTI Application is marked to concerned CPIOs of DoPT and also being transferred under Section 6 (3) to the DPE (pt 2 & 3) SEBI (pt 2 & 3), MCA (pt 2 & 3) to furnish available information if any to applicant on points concerning them	
<b>Type of Receipt</b> (रसीद का प्रकार) :	Electronically Transferred from Other Public Authority	<b>Language of Request</b> (अनुरोध की भाषा) : English
<b>Name</b> (नाम) :		<b>Gender</b> (लिंग) :
<b>Address</b> (पता) :		
<b>State</b> (राज्य) :		<b>Country</b> (देश) :
<b>Phone Number</b> (फोन नंबर) :		<b>Mobile Number</b> (मोबाईल नंबर) :
<b>Email-ID</b> (ईमेल-आईडी) :		
<b>Status</b> (स्थिति) (Rural/Urban) :		<b>Education Status</b> :
<b>Requester Letter Number</b> (निवेदक पत्र संख्या) :	Details not provided	<b>Letter Date</b> : Details not provided
<b>Is Requester Below Poverty Line ?</b> (क्या आवेदक गरीबी रेखा से नीचे का है?) :	No	<b>Citizenship Status</b> (नागरिकता) : Indian
<b>Amount Paid</b> (राशि का भुगतान) :	0 (Received by Department of Personnel & Training) (original recipient)	<b>Mode of Payment</b> (भुगतान का प्रकार) : Payment Gateway
<b>Does it concern the life or Liberty of a Person?</b> (क्या यह किसी व्यक्ति के जीवन अथवा स्वतंत्रता से संबंधित है?) :	No(Normal)	<b>Request Pertains to</b> (अनुरोध निम्नलिखित संबंधित है) : kailash Bhandari
<b>Information Sought</b> (जानकारी मांगी):	1.Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.	



Details

2.Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.  
3. Documents relating to Steps taken to rectify the default for companies under point1.

1.Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.

**Original RTI Text (मूल आरटीआई पाठ):**

2.Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.  
3. Documents relating to Steps taken to rectify the default for companies under point1.

Print Save Close

File NO. 11/33/2020-PESB  
Government of India  
Department of Personnel & Training  
(Public Enterprises Selection Board)  
\*\*\*\*\*

Block No.14, CGO Complex,  
Lodhi Road, New Delhi  
Dated, the 03<sup>rd</sup> November, 2020

To

**Sub: -Transfer of RTI application under RTI Act, 2005-reg.**

Sir,

Please refer to your RTI application dated 17.10.2020 (Reg. No. DoP&T/R/E/20/06668). The information in respect of your RTI application is as under:

Information Sought	Information
1. Information relating to Number of vacancies of independent director in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.	The information sought by applicant does not pertain to PESB and the RTI application is being transferred to Department of Public Enterprises under section 6(3) of RTI Act, 2005 to furnish the information to you directly.
2. Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 01.01.2019 to 30.09.2020.	
3. Documents relating to Steps taken to rectify the default for companies under point 1.	

2. In case you are not satisfied with the above information, you may file an appeal to the Appellate Authority, namely, Shri Girish Chandra, Deputy Secretary, PESB, Room No. 509, PE Bhawan, Block No.14, CGO Complex, Lodhi Road, New Delhi-110003.

Yours faithfully



(Ajit Kumar)

Under Secretary to the Government of India

Tel No. 24361632

*Accepted*  
✓  
**Copy to:** Deputy Secretary & CPIO, Department of Public Enterprises, Room No. 404, PE Bhawan, Block 14, CGO complex Lodhi Road, New Delhi with a request to furnish information directly to the applicant.

## RTI REQUEST DETAILS (आरटीआई अनुरोध विवरण)

Registration Number (पंजीकरण संख्या) :	DOP&T/R/E/20/06668	Date of Receipt (प्राप्ति की तारीख) :	17/10/2020
Type of Receipt (रसीद का प्रकार) :	Online Receipt	Language of Request (अनुरोध की भाषा) :	English
Name (नाम) :		Gender (लिंग) :	
Address (पता) :			
State (राज्य) :		Country (देश) :	
Phone Number (फोन नंबर) :		Mobile Number (मोबाईल नंबर) :	
Email-ID (ईमेल-आईडी) :			
Status (स्थिति)(Rural/Urban) :		Education Status :	
Is Requester Below Poverty Line ? (क्या आवेदक गरीबी रेखा से नीचे का है?) :	No	Citizenship Status (नागरिकता) :	Indian
Amount Paid (राशि का भुगतान) :	10 ) (original recipient)	Mode of Payment (भुगतान का प्रकार) :	Payment Gateway
Does it concern the life or Liberty of a Person? (क्या यह किसी व्यक्ति के जीवन अथवा स्वतंत्रता से संबंधित है?) :	No(Normal)	Request Pertains to (अनुरोध निम्नलिखित संबंधित है) :	Ajit Kumar, US (PESB)
Information Sought (जानकारी मांगी):	<p>1.Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.</p> <p>2.Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period of between 1.1.2019 to 30.09.2020.</p> <p>3. Documents relating to Steps taken to rectify the default for companies under point 1.</p>		
<input type="button" value="Print"/> <input type="button" value="Save"/> <input type="button" value="Close"/>			

Transfer to JPE  
 29.10.20  
 AS & Anita



SEC/50/2020-II

November 27, 2020

**Sub: Information Under Right to Information Act**

Dear Sir,

Please refer your RTI request (reference no. DOP&T/R/E/20/06668 dated October 17, 2020) transferred by the Department of Public Enterprises vide letter F. No. 27(1)/2009-GM(pt.) dated November 19, 2020 and received by Cochin Shipyard Limited (CSL) on November 25, 2020. The information sought under the said request pertaining to Cochin Shipyard Limited (CSL) is given below.

- (i) Information relating to Number of vacancies of independent directors in PSU listed on Bombay Stock Exchange as on 30.09.2020 or last available date.

**Answer**

As per the present Board structure, CSL is required to have at least 6 Independent Directors pursuant to Regulation 17(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. As on date CSL does not have any Independent Director.

- (ii) Amount of Penalties levied by Stock exchange/SEBI/ROC for violation due to non-appointment of independent directors in companies under point 1 for the period between 01.01.2019 to 30.09.2020.

**Answer**

A fine of Rs. 2,30,100 (including GST @ 18%) each was levied by the Stock Exchanges viz., BSE Limited and National Stock Exchange of India Limited.

However, the fines levied were waived by the Stock Exchanges on the representation made by the Company.

- (iii) Documents relating to Steps taken to rectify the default for companies under point 1.

**Answer**

Appointment of Independent Directors is outside the purview of the Company. Nevertheless, the Company intimates the vacancies to the Administrative Ministry well in advance and also makes frequent follow ups for appointment.



2. If you are not satisfied with the above reply, you may prefer an appeal within 30 days from the date of receipt of this letter to Shri Suresh Babu N V, Director (Operations) & Appellate Authority, Cochin Shipyard Limited, Administrative Building, Cochin Shipyard Premises, Perumanoor, Kochi – 682 015.

Thanking you,



Yours faithfully,

K J Bamesh

Central Public Information Officer

**Copy to:**

Shri Kailash Bhandari,  
Deputy Director & CPIO,  
Department of Public Enterprises  
Ministry of Heavy Industries and Public Enterprises,  
Government of India,  
Block 14, CGO Complex,  
Lodhi Road, New Delhi – 110 003.