



SEC/48/2017-63

May 15, 2018

<p>To, The Manager Listing Compliance Department BSE Limited Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai- 400001</p> <p>Scrip Code/ Symbol: 540678; COCHINSHIP</p>	<p>To, The Manager Listing Compliance Department The National Stock Exchange of India Ltd Exchange Plaza, Bandra-Kurla Complex, Bandra (East) Mumbai- 400051</p> <p>Scrip Symbol: COCHINSHIP</p>
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Sub.: Monitoring Agency Report for the quarter ended March 31, 2018 pursuant to Regulation 16 (4) of the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009

Dear Sir/Madam,

The Monitoring Agency Report issued by the State Bank of India (“the **Monitoring Agency**”) for the quarter ended March 31, 2018 pursuant to Regulation 16 (4) of the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009 is enclosed herewith for the purpose of dissemination of information widely to the members.

Thanking you,

Yours sincerely,

For Cochin Shipyard Limited

V. Kala
Company Secretary &
Compliance Officer



FORMAT OF REPORT TO BE SUBMITTED BY MONITORING AGENCY

[As per Clause 16 (2) and (3) of Schedule IX of SEBI (ICDR) Regulations]

Report of the Monitoring Agency

Name of the Issuer: **COCHIN SHIPYARD LIMITED**

For quarter ended: **March 31, 2018**

Name of the Monitoring Agency: **STATE BANK OF INDIA**

(a) Deviation from the objects: **NO DEVIATION**

- Utilization different from Objects stated in OD but in line with change of objects approved by shareholders' resolution; or
- Utilization neither in line with Objects stated in OD nor approved by shareholders' resolution
- In case of no deviation, the fact would be stated.

(b) Range of Deviation*: **NOT APPLICABLE**

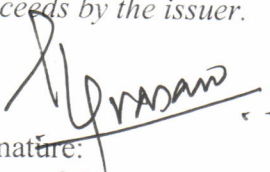
Indicate range of percentage deviation from the amount of issue proceeds earmarked for objects. For example, up to 10%, 10 - 25%, 25-50%, 50-75%, 75-100%, not ascertainable etc.

* Range of Deviation may be computed by taking weighted average of financial deviation of each object in the ratio of issue proceeds allocated for it. Non-financial deviation may be indicated separately by way of notes.

Declaration:

I/We hereby declare that this report is based on the format as prescribed by SEBI (ICDR) Regulations, 2009, as amended. I/We further declare that this report provides true and fair view of the utilization of issue proceeds.

I/We declare that we do not have any direct / indirect interest in or relationship with the issuer/promoters/directors/management and also confirm that we do not perceive any conflict of interest in such relationship / interest while monitoring and reporting the utilization of issue proceeds by the issuer.



Signature:

Name of the Authorized Person/Signing Authority: (P. SREENIVASAN)

Designation of Authorized person/Signing Authority: (ASSISTANT GENERAL MANAGER)

Seal of the Monitoring Agency:



Date: 14-05-2018

bank.sbi

- ☎ 0484 - 2340100
- 0484 - 2340027
- 0484 - 2341222
- 0484 - 2340028
- 0484 - 2340029
- 0484 - 2341555
- ☎ 0484 - 2341100
- ✉ sbi.04062@sbi.co.in

കൊച്ചിയിൽ രാജാ എറണാകുളം
വകാരത്ത് ടവേഴ്സ്
എൻ.എച്ച്. ബൈപാസ് ജംഗ്ഷൻ
പാടിവട്ടം, കൊച്ചി - 682 024

वाणिज्यिक शाखा एरणाकुलम
वन्कारत टवेर्स
एन.एच. बेंपास जंक्शन
पाटिवट्ट, कोच्चि - 682 024

Commercial Branch Ernakulam
Vankarath Towers
NH By - Pass Junction
Pativattom, Kochi - 682 024

1) **Issuer Details:**

Name of the issuer : **COCHIN SHIPYARD LIMITED**
 The names of the promoters of the issuer : The President of India acting through Ministry of Shipping
 Industry/sector to which it belongs : Ship building and ship repair

2) **Issue Details:**

Issue Period : 1st August 2017 – 3rd August 2017
 Type of issue (public/rights) : Public Issue
 Type of specified securities : Equity shares
 Grading : Not Applicable
 Issue size (Rs. in Crores) : Fresh Issue of up to 2,26,56,000 equity shares of face value of Rs.10 each aggregating to Rs. 96,195.36 lakhs.

3) **Details of the arrangement made to ensure the monitoring of issue proceeds:**

(Give item by item description for all the objects stated in offer document separately in following format)

Particulars	Reply	Setting up of Dry Dock	Setting up of ISRF	General Corporate Purposes	Comments of Monitoring Agency	Comments of Board of Directors
Whether all the utilization is as per disclosure in Offer Document?	Yes/No	Yes	Yes	Yes	Yes, No deviation observed.	-
Whether Shareholder approval is obtained in case of material deviations [#] from expenditures disclosed in Offer Document?	Yes/No	N.A.	N.A.	N.A.	Not applicable.	-
Whether means of finance for disclosed objects of the Issue has changed?	Yes/No	No	No	No	No	-
Any Major deviation observed over the earlier monitoring agency reports?	Yes/No	No	No	No	No	-
Whether all Government / Statutory approvals related to the object(s) obtained?	Yes/No	Yes	Yes	N.A.	Yes	-
Whether all arrangements pertaining to technical assistance/collaboration in operation?	Yes/No	Yes	Yes	N.A.	No deviation reported.	-
Any favorable events improving object(s) viability	Yes/No	No	No	No	No such events noticed/reported.	-
Any unfavorable events affecting object(s) viability	Yes/No	No	No	No	No such events noticed/reported.	-
Any other relevant information that may materially affect the decision making of the investors	Yes/No	No	No	No	Nil	-

[#] Where material deviation may be defined to mean:

a) Deviation in the objects or purposes for which the funds have been raised

b) Deviation in the amount of fund actually utilized by more than 10% of the amount projected in the offer documents.



4) Details of object(s) to be monitored:

(i) Cost of object(s)-

(Give Item by Item Description for all the Objects Stated in Offer Document separately in following format)

Sl. No	Item Head	Portion of the Original Cost of the project to be funded by the IPO (Rs. in Crore) * Original Cost (as per Offer Document)	Revised Cost	Comments of Monitoring Agency	Comments of Board of Directors		
					Reason of cost revision	Proposed financing option	Particulars of firm arrangements made
1	Setting up of Dry Dock	510.00	-	No revision in cost reported.	N.A.	N.A.	N.A.
2	Setting up of ISRF	265.00	-	No revision in cost reported.	N.A.	N.A.	N.A.
3	General Corporate Purposes**	165.23	-	No revision in cost reported.	N.A.	N.A.	N.A.
	TOTAL	940.23					

* Cost as indicated in the Objects Chapter of Prospectus dated August 4, 2017.

** In the Offer Document, the gross proceeds from fresh issue was mentioned as Rs. 961.34 Crore assuming the entire employee reservation portion would get fully subscribed, which was at a discounted price of Rs 411/-per share. Since the employee reservation portion did not get fully subscribed, the unsubscribed portion has been allotted to other categories in the respective ratios and hence there is a slight increase in the amount of issue proceeds. The actual issue proceeds comes to Rs. 961.95 crore; out of which Rs. 20 crore was estimated as CSL's share of issue expenses. However, CSL's share of issue expenses have now been estimated at Rs. 21.72 crore and accordingly, the net issue proceeds have been reduced to Rs. 940. 23 crore. The increase in the issue expenses have been adjusted against the General Corporate Purpose and the portion of General Corporate Purpose in the net issue proceeds is reduced to Rs. 165.23 crore.



(ii) Progress in the object(s)-

(Give Item by Item Description for all the Objects Stated in Offer Document separately in the following format)

Sl. No	Item Head ^s	Amount utilized (Rs. in Crore)			Total unutilized Amount (Rs. in Crore)	Comments of Monitoring Agency	Comments of Board of Directors	
		As at Beginning of the quarter	During the quarter	At the end of the quarter			Reason of idle funds	Proposed Course of Action
1	Setting up of Dry Dock at Existing premises of Cochin Shipyard Limited, Cochin.	2.95	0.36	3.31	506.69	--	-	-
2	Setting up of ISRF at Cochin Port Trust Area, Cochin	0.00	11.42	11.42	253.58	The Ministry of Environment Forest and Climate Change (MOEF&CC) vide their letter F.No.6-226/2017 WL dated 04.01.2018 had intimated Principal Secretary, Kerala Forest Department regarding National Board for Wild Life clearance for the ISRF project by the Standing Committee of National Board for Wild Life. The MOEF&CC on 09.01.2018 published the minutes of the 46 th meeting of the above standing committee in its web portal. As per the information and explanation given to us by the Company, the condition imposed by State CWLW (State Chief Wild Life Warden) is to be complied during the implementation of the ISRF project and the matter has been taken up with the Forest Department, Government of Kerala and the process of identification of notified mangrove area for afforestation is underway. Accordingly, the Company has considered the date of Environmental clearance as January 9, 2018 and started utilisation of the funds set apart for this purpose after intimating SEBI vide letter dated January 17, 2018.	-	-
3	General Corporate Purposes	98.54	1.02	99.56	65.67	--	-	-
	TOTAL	101.49	12.80	114.29	825.94			

^s Provide following details under Item Head:

(a) Name of the object(s):

(b) Brief description of the object(s):

(c) Location of the object(s) (if applicable):



(iii) Deployment of unutilized IPO proceeds-

Sl. No.	Type of Instrument where the amount is invested	Name of Bank	Amount Invested (in Rs.)	Date of Deposit	Date of Maturity	Earnings (at maturity) (in Rs.)	Return on Investment (RoI) (Interest Rate)	Market Value as at the end of the quarter
1	Fixed Deposit	Andhra Bank	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
2	Fixed Deposit	Andhra Bank	99,00,000	16-08-2017	17-08-2018	6,43,500	6.50%	-
3	Fixed Deposit	Bank of Baroda	99,00,000	16-08-2017	16-08-2018	6,53,400	6.60%	-
4	Fixed Deposit	Bank of India	99,00,000	16-08-2017	16-08-2018	6,63,300	6.70%	-
5	Fixed Deposit	Canara Bank	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
6	Fixed Deposit	Central Bank of India	99,00,000	16-08-2017	17-08-2018	6,55,190	6.60%	-
7	Fixed Deposit	Central Bank of India	99,00,000	16-08-2017	16-08-2018	6,53,400	6.60%	-
8	Fixed Deposit	Corporation Bank	99,00,000	16-08-2017	16-08-2018	6,53,400	6.60%	-
9	Fixed Deposit	Indian Overseas Bank	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
10	Fixed Deposit	Indian Overseas Bank	60,00,000	21-12-2017	21-12-2018	4,05,000	6.75%	-
11	Fixed Deposit	Indian Overseas Bank	75,00,000	21-12-2017	21-12-2018	5,06,250	6.75%	-
12	Fixed Deposit	Indian Overseas Bank	80,00,000	21-12-2017	21-12-2018	5,40,000	6.75%	-
13	Fixed Deposit	Indian Overseas Bank	85,00,000	21-12-2017	21-12-2018	5,73,750	6.75%	-
14	Fixed Deposit	Indian Overseas Bank	60,00,000	22-12-2017	22-12-2018	4,05,000	6.75%	-
15	Fixed Deposit	Indian Overseas Bank	65,00,000	22-12-2017	22-12-2018	4,38,750	6.75%	-
16	Fixed Deposit	Indian Overseas Bank	85,00,000	22-12-2017	22-12-2018	5,73,750	6.75%	-
17	Fixed Deposit	Indian Overseas Bank	90,00,000	22-12-2017	22-12-2018	6,07,500	6.75%	-
18	Fixed Deposit	IDBI bank	1,02,93,75,616	17-10-2017	16-04-2018	3,31,79,737	6.50%	-
19	Fixed Deposit	Oriental Bank OF Commerce	99,00,000	16-08-2017	16-08-2018	6,53,400	6.60%	-
20	Fixed Deposit	Punjab & Sind Bank	99,00,000	16-08-2017	16-08-2018	6,73,200	6.80%	-
21	Fixed Deposit	Punjab National Bank	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
22	Fixed Deposit	State Bank of India	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
23	Fixed Deposit	State Bank of India	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
24	Fixed Deposit	State Bank of India	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
25	Fixed Deposit	State Bank of India	99,00,000	16-08-2017	16-08-2018	6,68,250	6.75%	-
26	Fixed Deposit	Syndicate Bank	99,00,000	16-08-2017	16-08-2018	6,43,500	6.50%	-
27	Fixed Deposit	UCO Bank	99,00,000	16-08-2017	16-08-2018	6,58,350	6.65%	-
28	Fixed Deposit	Union Bank of India	99,00,000	16-08-2017	15-08-2018	6,66,419	6.75%	-



29	Fixed Deposit	Union Bank of India	99,00,000	16-08-2017	15-06-2018	5,34,193	6.50%	-
30	Fixed Deposit	Union Bank of India	99,00,000	17-08-2017	16-08-2018	6,66,419	6.75%	-
31	Fixed Deposit	Union Bank of India	99,00,000	30-08-2017	30-08-2018	6,68,250	6.75%	-
32	Fixed Deposit	Union Bank of India	99,00,000	06-12-2017	06-10-2018	5,56,570	6.75%	-
33	Fixed Deposit	Union Bank of India	99,00,000	06-12-2017	07-10-2018	5,58,401	6.75%	-
34	Fixed Deposit	Union Bank of India	99,00,000	06-12-2017	08-10-2018	5,60,232	6.75%	-
35	Fixed Deposit	Union Bank of India	99,00,000	07-12-2017	08-10-2018	5,58,401	6.75%	-
36	Fixed Deposit	Union Bank of India	99,00,000	08-12-2017	08-10-2018	5,56,570	6.75%	-
37	Fixed Deposit	Union Bank of India	99,00,000	08-12-2017	11-10-2018	5,62,062	6.75%	-
38	Fixed Deposit	Union Bank of India	99,00,000	07-12-2017	07-10-2018	5,56,570	6.75%	-
39	Fixed Deposit	Union Bank of India	99,00,000	07-12-2017	09-10-2018	5,60,232	6.75%	-
40	Fixed Deposit	Union Bank of India	99,00,000	08-12-2017	09-10-2018	5,58,401	6.75%	-
41	Fixed Deposit	Union Bank of India	99,00,000	08-12-2017	10-10-2018	5,60,232	6.75%	-
42	Fixed Deposit	Union Bank of India	99,00,000	11-12-2017	13-10-2018	5,60,232	6.75%	-
43	Fixed Deposit	Union Bank of India	99,00,000	11-12-2017	12-10-2018	5,58,401	6.75%	-
44	Fixed Deposit	Union Bank of India	99,00,000	11-12-2017	11-10-2018	5,56,570	6.75%	-
45	Fixed Deposit	Union Bank of India	99,00,000	12-12-2017	14-10-2018	5,60,232	6.75%	-
46	Fixed Deposit	Union Bank of India	99,00,000	12-12-2017	13-10-2018	5,58,401	6.75%	-
47	Fixed Deposit	Union Bank of India	99,00,000	12-12-2017	12-10-2018	5,56,570	6.75%	-
48	Fixed Deposit	Union Bank of India	99,00,000	13-12-2017	13-10-2018	5,56,570	6.75%	-
49	Fixed Deposit	Union Bank of India	99,00,000	13-12-2017	14-10-2018	5,58,401	6.75%	-
50	Fixed Deposit	Union Bank of India	99,00,000	13-12-2017	15-10-2018	5,60,232	6.75%	-
51	Fixed Deposit	Union Bank of India	99,00,000	14-12-2017	16-10-2018	5,60,232	6.75%	-
52	Fixed Deposit	Union Bank of India	20,00,000	14-12-2017	14-10-2018	1,12,438	6.75%	-
53	Fixed Deposit	Union Bank of India	99,00,000	21-12-2017	21-10-2018	5,56,570	6.75%	-
54	Fixed Deposit	Union Bank of India	99,00,000	21-12-2017	23-10-2018	5,60,232	6.75%	-
55	Fixed Deposit	Union Bank of India	99,00,000	22-12-2017	22-10-2018	5,56,570	6.75%	-
56	Fixed Deposit	Union Bank of India	99,00,000	22-12-2017	23-10-2018	5,58,401	6.75%	-
57	Fixed Deposit	Union Bank of India	99,00,000	22-12-2017	24-10-2018	5,60,232	6.75%	-
58	Fixed Deposit	Union Bank of India	99,00,000	26-12-2017	28-10-2018	5,60,232	6.75%	-
59	Fixed Deposit	Union Bank of India	99,00,000	26-12-2017	27-10-2018	5,58,401	6.75%	-
60	Fixed Deposit	Union Bank of India	99,00,000	26-12-2017	26-10-2018	5,56,570	6.75%	-



61	Fixed Deposit	Union Bank of India	11,00,000	27-12-2017	27-10-2018	61,841	6.75%	-
62	Fixed Deposit	Union Bank of India	99,00,000	27-12-2017	29-10-2018	5,60,232	6.75%	-
63	Fixed Deposit	Union Bank of India	99,00,000	27-12-2017	28-10-2018	5,58,401	6.75%	-
64	Fixed Deposit	Union Bank of India	21,64,343	28-12-2017	28-10-2018	1,21,678	6.75%	-
65	Fixed Deposit	Union Bank of India	99,00,000	28-12-2017	29-10-2018	5,58,401	6.75%	-
66	Fixed Deposit	Union Bank of India	99,00,000	28-12-2017	30-10-2018	5,60,232	6.75%	-
67	Fixed Deposit	Yes Bank	90,00,00,000	16-08-2017	16-08-2018	5,94,00,000	6.60%	-
68	Fixed Deposit	Axis Bank	3,76,46,979	16-03-2018	02-04-2018	1,10,466	6.30%	-
69	Fixed Deposit	Axis Bank	4,60,91,667	30-03-2018	17-05-2018	3,63,682	6.00%	-
70	Fixed Deposit	Federal Bank	1,80,00,00,000	16-08-2017	17-08-2018	12,09,30,411	6.70%	-
71	Fixed Deposit	Union Bank of India	99,00,000	08-01-2018	08-11-2018	5,56,570	6.75%	-
72	Fixed Deposit	Union Bank of India	99,00,000	08-01-2018	09-11-2018	5,58,401	6.75%	-
73	Fixed Deposit	Union Bank of India	99,00,000	08-01-2018	10-11-2018	5,60,232	6.75%	-
74	Fixed Deposit	Union Bank of India	99,00,000	09-01-2018	09-11-2018	5,56,570	6.75%	-
75	Fixed Deposit	Union Bank of India	99,00,000	09-01-2018	10-11-2018	5,58,401	6.75%	-
76	Fixed Deposit	Union Bank of India	99,00,000	09-01-2018	11-11-2018	5,60,232	6.75%	-
77	Fixed Deposit	Union Bank of India	99,00,000	10-01-2018	10-11-2018	5,56,570	6.75%	-
78	Fixed Deposit	Union Bank of India	99,00,000	10-01-2018	11-11-2018	5,58,401	6.75%	-
79	Fixed Deposit	Union Bank of India	99,00,000	10-01-2018	12-11-2018	5,60,232	6.75%	-
80	Fixed Deposit	Union Bank of India	99,00,000	11-01-2018	11-11-2018	5,56,570	6.75%	-
81	Fixed Deposit	Union Bank of India	99,00,000	11-01-2018	12-11-2018	5,58,401	6.75%	-
82	Fixed Deposit	Union Bank of India	99,00,000	11-01-2018	13-11-2018	5,60,232	6.75%	-
83	Fixed Deposit	Federal Bank	13,00,00,000	19-01-2018	19-01-2019	92,43,000	7.11%	-
84	Fixed Deposit	Union Bank of India	99,00,000	23-01-2018	23-11-2018	5,56,570	6.75%	-
85	Fixed Deposit	Union Bank of India	99,00,000	23-01-2018	24-11-2018	5,58,401	6.75%	-
86	Fixed Deposit	Union Bank of India	99,00,000	23-01-2018	25-11-2018	5,60,232	6.75%	-
87	Fixed Deposit	Union Bank of India	98,00,000	24-01-2018	24-11-2018	5,50,948	6.75%	-
88	Fixed Deposit	Union Bank of India	97,00,000	24-01-2018	25-11-2018	5,47,120	6.75%	-
89	Fixed Deposit	Kotak Mahindra bank	50,00,00,000	03-02-2018	03-02-2019	3,57,50,000	7.15%	-
90	Fixed Deposit	Punjab & Sind Bank	10,00,00,000	09-02-2018	09-02-2019	68,00,000	6.80%	-
91	Fixed Deposit	Yes Bank	5,00,00,000	09-02-2018	09-02-2019	37,50,000	7.50%	-
92	Fixed Deposit	Bank of Baroda	1,05,00,00,000	16-02-2018	16-02-2019	7,40,25,000	7.05%	-



93	Fixed Deposit	HDFC Bank	5,00,00,000	23-02-2018	23-11-2018	26,55,205	7.10%	-
94	Fixed Deposit	HDFC Bank	5,00,00,000	23-02-2018	23-11-2018	26,55,205	7.10%	-
95	Fixed Deposit	ICICI Bank	8,60,00,000	26-02-2018	26-02-2019	63,64,000	7.40%	-
96	Fixed Deposit	ICICI Bank	12,95,00,000	28-02-2018	28-02-2019	95,83,000	7.40%	-
97	Fixed Deposit	ICICI Bank	8,00,00,000	02-03-2018	02-03-2019	59,20,000	7.40%	-
98	Fixed Deposit	Bank of Baroda	51,00,00,000	12-03-2018	12-03-2019	3,62,10,000	7.10%	-
99	Fixed Deposit	Indian Overseas Bank	20,00,00,000	19-03-2018	19-03-2019	1,42,40,000	7.12%	-
100	Fixed Deposit	State Bank of India	26,00,00,000	26-03-2018	15-05-2018	23,86,301	6.70%	-
101	Fixed Deposit	State Bank of India	54,50,00,000	29-03-2018	15-05-2018	47,37,021	6.75%	-
102	Fixed Deposit	Union Bank of India	5,50,00,000	31-03-2018	07-04-2018	44,829	4.25%	-
103	Fixed Deposit	Union Bank of India	99,00,000	15-01-2018	15-11-2018	5,56,570	6.75%	-
104	Fixed Deposit	Union Bank of India	12,00,000	15-01-2018	16-11-2018	67,685	6.75%	-
105	Fixed Deposit	Union Bank of India	34,53,738	15-01-2018	17-11-2018	1,95,444	6.75%	-
106	Fixed Deposit	Union Bank of India	99,00,000	21-12-2017	22-10-2018	5,58,401	6.75%	-
107	Fixed Deposit	Union Bank of India	58,29,219	14-12-2017	15-10-2018	3,28,792	6.75%	-
108	Fixed Deposit	Union Bank of India	54,58,000	28-12-2017	30-10-2018	3,08,863	6.75%	-
109	Fixed Deposit	Union Bank of India	4,41,208	24-01-2018	26-11-2018	24,968	6.75%	-
110	Current Account	State Bank of India	45,327	-	-	-	-	-
111	Current Account	Union Bank of India	3,81,959	-	-	-	-	-
		TOTAL	8,41,30,88,056			47,65,18,512		

Note: An amount of Rs. 139,032,511.30 has been received as interest which is included in the above table.

* Also indicate name of the party/company in which amounts have been invested

** Where market value is not practical to find, provide NAV/NRV/Book Value of the same



(iv) Delay in implementation of the object(s) -

Object(s) Name	Completion Date		Delay (No. of days/months)	Comments of Board of Directors	
	As per Offer Document	Actual*		Reason of delay	Proposed Course of Action
Setting up of Dry Dock at Existing premises of Cochin Shipyard Limited, Cochin.	June 2020	36 months from award of contract	10 Months	1) Tendering of CME works delayed by 02 months due to re-engineering design for containing cost. 2) Construction period was increased by 06 months due to inclusion of ground improving works to contain cost. 3) Finalization of contract took 88 days for techno commercial clarifications. 4) CSL has awarded the Turnkey Contract for CME works to M/s. L&T Construction, Chennai on 27 April 2018.	-
Setting up of ISRF at Cochin Port Trust Area, Cochin	August 2022	Delay not envisaged as on date.	NIL	N.A.	N.A.

* In case of continuing object(s) please specify latest/revised estimate of completion date.

